

Bi-Univalent Functions Associated with the Neutrosophic Touchard–Caputo Operator**Khalifa Al-Shaqsi¹, Jamal Salah², Ahmad Al Azab^{2,*}**¹*Department of Mathematical and Physical Sciences, College of Arts and Sciences, University of Nizwa, Nizwa, Oman*²*College of Applied and Health Sciences, A'Sharqiyah University, Ibra, Oman***Corresponding author: ahmad.alazab@asu.edu.om*

Abstract. This paper introduces a novel operator combining the neutrosophic Touchard (Bell polynomial) distribution with the modified Caputo fractional derivative operator, and applies it to study bi-univalent functions. The neutrosophic Touchard distribution extends both the classical Touchard moment series and the neutrosophic Poisson distribution through an indeterminate moment order $n \geq 0$ and a neutrosophic parameter $m_N \in [m_1, m_2]$. Within the new bi-univalent family $\widetilde{Y}_\Sigma(\alpha, \lambda, \mu, \eta, \delta, m_N, n; e)$, which unifies Bazilevic and μ -pseudo-starlike bi-univalent functions, we establish upper bounds for the coefficients $|d_2|$ and $|d_3|$ and derive the Fekete–Szegő inequality. Corollaries recover known results, including those of Santhiya–Thilagavathi [23] and Porwal [17]. A numerical case study with four figures illustrates how n tightens the bounds and how the neutrosophic interval quantifies model uncertainty.

1. INTRODUCTION

Let $\mathcal{A}$ denote the class of functions f of the form

$$f(\zeta) = \zeta + \sum_{k=2}^{\infty} a_k \zeta^k, \quad \zeta \in \mathbb{U} := \{\zeta \in \mathbb{C} : |\zeta| < 1\}, \quad (1.1)$$

which are analytic in the open unit disc $\mathbb{U}$. Let $\mathcal{S}$ be the subclass of $\mathcal{A}$ consisting of all univalent functions in $\mathbb{U}$. Every $f \in \mathcal{S}$ satisfies the Koebe one-quarter theorem [3] and admits an inverse f^{-1} satisfying $f^{-1}(f(\zeta)) = \zeta$ for $\zeta \in \mathbb{U}$ and $f(f^{-1}(\omega)) = \omega$ for $|\omega| < r_0(f) \geq \frac{1}{4}$, where

$$f^{-1}(\omega) = \omega - a_2 \omega^2 - (2a_2^2 - a_3) \omega^3 - \cdots, \quad |\omega| < r_0(f). \quad (1.2)$$

Received: Jun. 17, 2026.

2020 *Mathematics Subject Classification.* 30C45; 30C50; 30C20; 30C80; 33E12.

Key words and phrases. bi-univalent functions; neutrosophic Touchard distribution; neutrosophic Poisson distribution; modified Caputo derivative operator; Bazilevic functions; μ -pseudo-starlike functions; (G, H) Lucas polynomial; Fekete–Szegő inequality; Bell polynomials.

The celebrated Bieberbach conjecture [1], later proved by de Branges [2], states that $|a_n| \leq n$ for every $f \in \mathcal{S}$, providing the fundamental motivation for coefficient estimates in geometric function theory.

A function $f \in \mathcal{A}$ is said to be *bi-univalent* in $\mathbb{U}$ if both f and f^{-1} are univalent in $\mathbb{U}$. We denote the class of all such functions by Σ . The systematic study of bi-univalent functions was revived by Srivastava et al. [4], and has since attracted considerable attention [5–10].

A function $f \in \mathcal{A}$ is called a *Bazilevic function of order* $\lambda \geq 0$ [14] if

$$\operatorname{Re} \left(\frac{\zeta^{1-\lambda} f'(\zeta)}{[f(\zeta)]^{1-\lambda}} \right) > 0, \quad \zeta \in \mathbb{U}.$$

It is called a μ -pseudo-starlike function [15] if

$$\operatorname{Re} \left(\frac{\zeta [f'(\zeta)]^\mu}{f(\zeta)} \right) > 0, \quad \mu \geq 1, \quad \zeta \in \mathbb{U}.$$

The (G, H) Lucas Polynomials. For polynomials $G(x)$ and $H(x)$ with real coefficients, the (G, H) Lucas polynomials $L_{G,H,k}(x)$ satisfy [11, 12]

$$L_{G,H,k}(x) = G(x) L_{G,H,k-1}(x) + H(x) L_{G,H,k-2}(x), \quad k \geq 2,$$

with generating function

$$\mathcal{M}_{G(x),H(x)}(\zeta) = \sum_{k=2}^{\infty} L_{G,H,k}(x) \zeta^k = \frac{2 - G(x)\zeta}{1 - G(x)\zeta - H(x)\zeta^2}. \quad (1.3)$$

Subordination. If the holomorphic functions f and g are in $\mathbb{U}$, then f is subordinate to g , written $f < g$, if there exists a Schwarz function w with $w(0) = 0$ and $|w(\zeta)| < 1$ such that $f(\zeta) = g(w(\zeta))$. When g is univalent, $f < g$ iff $f(0) = g(0)$ and $f(\mathbb{U}) \subset g(\mathbb{U})$; see [13].

Neutrosophic Probability and the Poisson Series. Classical probability distributions operate with precisely known parameters. In many real-world scenarios the rate parameter is itself uncertain, motivating *neutrosophic statistics* [16], wherein a real parameter m is replaced by the neutrosophic number $m_N = m + I \cdot m_I$ with $m_N \in [m_1, m_2]$ and I the indeterminate component. Applications of the Poisson distribution series to analytic functions were initiated by Porwal [17, 18] and extended by Murugusundaramoorthy et al. [28]. The resulting neutrosophic Poisson distribution was applied to bi-univalent function theory by Santhiya and Thilagavathi [23] via the series

$$\Psi(\vartheta_N, \zeta) = \zeta + \sum_{k=2}^{\infty} \frac{(\vartheta_N)^{k-1}}{(k-1)!} e^{-\vartheta_N} \zeta^k.$$

Touchard (Bell) Polynomials. The *Touchard polynomials* [25, 26], also known as exponential polynomials [27], arise from the n -th moment of a Poisson(m) random variable X :

$$T_n(m) = E(X^n) = e^{-m} \sum_{k=0}^{\infty} \frac{m^k k^n}{k!}.$$

The associated generating series was introduced into analytic function theory by Al-Shaqsi [24]:

$$F_n(\zeta, m) = \zeta + \sum_{k=2}^{\infty} \frac{m^{k-1}(k-1)^n}{(k-1)!} e^{-m} \zeta^k, \quad n \geq 0, m > 0. \quad (1.4)$$

Setting $n = 0$ in (1.4) recovers the Poisson series of [17].

Motivation. Replacing m in (1.4) by a neutrosophic interval $m_N \in [m_1, m_2]$ yields the *neutrosophic Touchard distribution series*, simultaneously generalising: (i) the neutrosophic Poisson series of [23] (set $n = 0$); (ii) the Touchard series of [24] (set $m_N = m$ crisp); (iii) the Poisson series of [17] ($n = 0$, $m_N = m$ crisp). Combining this series with the modified Caputo operator of Salah [19,20] produces the *neutrosophic Touchard–Caputo operator*, studied in this paper.

2. THE NEUTROSOPHIC TOUCHARD–CAPUTO OPERATOR

Definition 2.1. Let $m_N \in [m_1, m_2]$ with $m_1, m_2 > 0$ and $n \geq 0$. The neutrosophic Touchard distribution series is

$$\Psi_n(\zeta, m_N) = \zeta + \sum_{k=2}^{\infty} \frac{(m_N)^{k-1}(k-1)^n}{(k-1)!} e^{-m_N} \zeta^k, \quad \zeta \in \mathbb{U}. \quad (2.1)$$

Remark 2.1. (i) $n = 0$: (2.1) reduces to the neutrosophic Poisson series of [23]. (ii) $m_N = m$ (crisp): (2.1) reduces to $F_n(\zeta, m)$ of [24]. (iii) $n = 0$, $m_N = m$ (crisp): (2.1) reduces to [17].

Definition 2.2. For $f \in \mathcal{A}$, the neutrosophic Al-Shaqsi operator $\mathcal{I}_n^{m_N} : \mathcal{A} \rightarrow \mathcal{A}$ is defined via the Hadamard product by

$$\mathcal{I}_n^{m_N} f(\zeta) = \Psi_n(\zeta, m_N) * f(\zeta) = \zeta + \sum_{k=2}^{\infty} \frac{(m_N)^{k-1}(k-1)^n}{(k-1)!} e^{-m_N} a_k \zeta^k. \quad (2.2)$$

Definition 2.3 (Salah–Darus [19,20]). The modified Caputo derivative operator of order δ is

$$I_\delta^\eta f(\zeta) = \frac{\Gamma(2+\eta-\delta)}{\eta-\delta} \zeta^{\delta-\eta} \int_0^\zeta \frac{\Psi_\eta f(\tau)}{(\zeta-\tau)^{\delta+1-\eta}} d\tau, \quad (2.3)$$

where $\eta - 1 < \delta \leq \eta < 2$ and $\Psi_\eta f(\tau) = \Gamma(2-\eta) \tau^\eta D_\tau^\eta f(\tau)$. For $f \in \mathcal{A}$:

$$I_\delta^\eta f(\zeta) = \zeta + \sum_{k=2}^{\infty} \frac{[\Gamma(k+1)]^2 \Gamma(2+\eta-\delta) \Gamma(2-\eta)}{\Gamma(k+\eta-\delta+1) \Gamma(k-\eta+1)} a_k \zeta^k. \quad (2.4)$$

Definition 2.4. For $f \in \mathcal{A}$, $m_N \in [m_1, m_2]$, $n \geq 0$, and $\eta - 1 < \delta \leq \eta < 2$, the neutrosophic Touchard–Caputo operator $\mathcal{M}_{\delta, m_N, n}^\eta : \mathcal{A} \rightarrow \mathcal{A}$ is defined by

$$\mathcal{M}_{\delta, m_N, n}^\eta f(\zeta) = I_\delta^\eta * \mathcal{I}_n^{m_N} f(\zeta) = \zeta + \sum_{k=2}^{\infty} \Phi_k a_k \zeta^k, \quad (2.5)$$

where the combined weight is

$$\Phi_k = \frac{(m_N)^{k-1}(k-1)^n \cdot k^2 \Gamma(k) \Gamma(2+\eta-\delta) \Gamma(2-\eta)}{(k-1)! \Gamma(k+\eta-\delta+1) \Gamma(k-\eta+1)} e^{-m_N}, \quad (2.6)$$

noting that $k^2\Gamma(k) = [\Gamma(k+1)]^2/\Gamma(k)$. Using $\Gamma(z+1) = z\Gamma(z)$, the first two weights simplify to

$$\Phi_2 = 4(1+\eta-\delta)(1-\eta)m_N e^{-m_N}, \quad (2.7)$$

$$\Phi_3 = 2^n(2+\eta-\delta)(2-\eta)(m_N)^2 e^{-m_N}. \quad (2.8)$$

3. THE BI-UNIVALENT FUNCTION FAMILY

Definition 3.1. Let $\lambda \geq 0$, $\mu \geq 1$, $0 \leq \alpha \leq 1$, $\eta - 1 < \delta \leq \eta < 2$, $m_N \in [m_1, m_2]$, $n \geq 0$, and let $e : \mathbb{U} \rightarrow \mathbb{C}$ be analytic with $e(0) = 1$, $e(\zeta) = 1 + h_1\zeta + h_2\zeta^2 + \dots$. A function $f \in \Sigma$ belongs to $\widetilde{Y}_\Sigma(\alpha, \lambda, \mu, \eta, \delta, m_N, n; e)$ if the following two subordination conditions hold:

$$(1-\alpha) \frac{\zeta^{1-\lambda}(\mathcal{M}_{\delta, m_N, n}^\eta f(\zeta))'}{(\mathcal{M}_{\delta, m_N, n}^\eta f(\zeta))^{1-\lambda}} + \alpha \frac{\zeta(\mathcal{M}_{\delta, m_N, n}^\eta f(\zeta))'^\mu}{\mathcal{M}_{\delta, m_N, n}^\eta f(\zeta)} < e(\zeta), \quad (3.1)$$

$$(1-\alpha) \frac{\omega^{1-\lambda}(\mathcal{M}_{\delta, m_N, n}^\eta f^{-1}(\omega))'}{(\mathcal{M}_{\delta, m_N, n}^\eta f^{-1}(\omega))^{1-\lambda}} + \alpha \frac{\omega(\mathcal{M}_{\delta, m_N, n}^\eta f^{-1}(\omega))'^\mu}{\mathcal{M}_{\delta, m_N, n}^\eta f^{-1}(\omega)} < e(\omega), \quad (3.2)$$

where f^{-1} is given by (1.2).

Remark 3.1. (1) $\alpha = 1$: reduces to the μ -pseudo-bi-starlike family $\mathcal{N}_\Sigma(\mu, \eta, \delta, m_N, n; e)$. (2) $\alpha = 0$: reduces to the bi-Bazilevic family $\mathcal{C}_\Sigma(\lambda, \eta, \delta, m_N, n; e)$. (3) $\alpha = \mu = 1$: reduces to the bi-starlike family $\mathcal{D}_\Sigma(\eta, \delta, m_N, n; e)$. (4) $n = 0$: reduces to the family studied in [23].

4. MAIN RESULTS

Throughout we use the notation

$$\begin{aligned} E &= (1+\eta-\delta)(1-\eta)[(1-\alpha)(\lambda+1) + 2\delta(\mu-1)]m_N e^{-m_N}, \\ F &= 2^{n-1}(2+\eta-\delta)(2-\eta)[18(1-\alpha)(\lambda+1) + 54\delta(\mu-1)](m_N)^2 e^{-m_N}, \\ J &= (1+\eta-\delta)^2(1-\eta)^2[(1-\alpha)(\lambda^2 + 31\lambda - 32) + 32\delta(\mu^2 - 3\mu + 2)](m_N)^2 e^{-2m_N}. \end{aligned} \quad (4.1)$$

Remark 4.1. Setting $n = 0$ gives $2^{n-1} = \frac{1}{2}$ in F , which recovers the constants E, F, J of [23] (with $m_N = \vartheta_N$).

Theorem 4.1. Under the hypotheses of Definition 3.1, if $f \in \widetilde{Y}_\Sigma(\alpha, \lambda, \mu, \eta, \delta, m_N, n; e)$, then

$$|d_2| \leq \frac{|h_1|}{4E} \quad (4.2)$$

and

$$|d_3| \leq \min\left\{\max\left\{\frac{|h_1|}{F}, \left|\frac{h_2}{F} - \frac{Jh_1^2}{16E^2F}\right|\right\}, \max\left\{\frac{|h_1|}{F}, \left|\frac{h_2}{F} - \frac{(2F+J)h_1^2}{16E^2F}\right|\right\}\right\}, \quad (4.3)$$

where E, F, J are given by (4.1).

Proof. Since $f \in \widetilde{Y}_\Sigma$, there exist holomorphic Schwarz functions $\chi, \varphi : \mathbb{U} \rightarrow \mathbb{U}$ with $\chi(0) = \varphi(0) = 0$, written as

$$\chi(\zeta) = b_1\zeta + b_2\zeta^2 + \dots, \quad (4.4)$$

$$\varphi(\omega) = l_1\omega + l_2\omega^2 + \cdots, \quad (4.5)$$

satisfying $|b_n| \leq 1$ and $|l_n| \leq 1$ for all n by the Schwarz lemma. Expanding (3.1)–(3.2) using (2.5) and comparing coefficients of ζ^1, ζ^2 and ω^1, ω^2 yields:

$$4E d_2 = h_1 b_1, \quad F d_3 + J d_2^2 = h_1 b_2 + h_2 b_1^2, \quad (4.6)$$

$$-4E d_2 = h_1 l_1, \quad F(2d_2^2 - d_3) + J d_2^2 = h_1 l_2 + h_2 l_1^2. \quad (4.7)$$

Bound for $|d_2|$. From (4.6) and $|b_1| \leq 1$: $4E|d_2| \leq |h_1|$, giving (4.2).

First bound for $|d_3|$. Solving (4.6) for d_3 : $Fd_3 = h_1 b_2 + (h_2 - Jh_1^2/16E^2)b_1^2$. The sharp inequality $|b_2 - \gamma b_1^2| \leq \max\{1, |\gamma|\}$ for all $\gamma \in \mathbb{C}$ [3, 29] gives

$$|d_3| \leq \max\left\{\frac{|h_1|}{F}, \left|\frac{h_2}{F} - \frac{Jh_1^2}{16E^2F}\right|\right\}. \quad (4.8)$$

Second bound for $|d_3|$. From (4.7): $-Fd_3 = h_1 l_2 + (h_2 - (2F + J)h_1^2/16E^2)l_1^2$, giving

$$|d_3| \leq \max\left\{\frac{|h_1|}{F}, \left|\frac{h_2}{F} - \frac{(2F+J)h_1^2}{16E^2F}\right|\right\}. \quad (4.9)$$

Taking the minimum of (4.8) and (4.9) yields (4.3). $\square$

Theorem 4.2. Under the hypotheses of Theorem 4.1, for any $\beta \in \mathbb{C}$:

$$|d_3 - \beta d_2^2| \leq \frac{|h_1|}{F} \min\left(\max\left\{1, \left|\frac{h_2}{h_1} - \frac{(J - \beta F)h_1}{16E^2}\right|\right\}, \max\left\{1, \left|\frac{h_2}{h_1} - \frac{(2F + J - \beta F)h_1}{16E^2}\right|\right\}\right). \quad (4.10)$$

Proof. From (4.6): $d_3 - \beta d_2^2 = (h_1/F)[b_2 + (h_2/h_1 - (J - \beta F)h_1/16E^2)b_1^2]$. Applying [3, 29] gives the first estimate. From (4.7): $d_3 - \beta d_2^2 = (h_1/F)[l_2 + (h_2/h_1 - (2F + J - \beta F)h_1/16E^2)l_1^2]$. The second estimate follows similarly. Taking the minimum yields (4.10). $\square$

5. COROLLARIES

Taking $e(\zeta) = \mathcal{M}_{G(x), H(x)}(\zeta) - 1$ (equation (1.3)) gives $h_1 = G(x)$ and $h_2 = G^2(x) + 2H(x)$.

Corollary 5.1. If $f \in \widetilde{Y}_\Sigma(\alpha, \lambda, \mu, \eta, \delta, m_N, n; \mathcal{M}_{G(x), H(x)} - 1)$, then

$$|d_2| \leq \frac{|G(x)|}{4E}, \quad (5.1)$$

$$|d_3| \leq \min\left(\max\left\{\frac{|G(x)|}{F}, \left|\frac{G^2(x) + 2H(x)}{F} - \frac{JG^2(x)}{16E^2F}\right|\right\}, \max\left\{\frac{|G(x)|}{F}, \left|\frac{G^2(x) + 2H(x)}{F} - \frac{(2F + J)G^2(x)}{16E^2F}\right|\right\}\right), \quad (5.2)$$

$$|d_3 - \beta d_2^2| \leq \frac{|G(x)|}{F} \min\left(\max\left\{1, \left|\frac{G^2(x) + 2H(x)}{G(x)} - \frac{(J - \beta F)G(x)}{16E^2}\right|\right\}, \max\left\{1, \left|\frac{G^2(x) + 2H(x)}{G(x)} - \frac{(2F + J - \beta F)G(x)}{16E^2}\right|\right\}\right), \quad (5.3)$$

for all admissible parameters, where E, F, J are given by (4.1) and $\mathcal{M}_{G(x), H(x)}$ by (1.3).

Corollary 5.2 ($\alpha = 1$: μ -pseudo-bi-starlike family). *With constants*

$$\begin{aligned} E_1 &= (1 + \eta - \delta)(1 - \eta) \cdot 2\delta(\mu - 1) \cdot m_N e^{-m_N}, \\ F_1 &= 2^{n-1}(2 + \eta - \delta)(2 - \eta) \cdot 54\delta(\mu - 1) \cdot (m_N)^2 e^{-m_N}, \\ J_1 &= (1 + \eta - \delta)^2(1 - \eta)^2 \cdot 32\delta(\mu^2 - 3\mu + 2) \cdot (m_N)^2 e^{-2m_N}, \end{aligned}$$

the bounds (4.2)–(4.10) hold with E, F, J replaced by E_1, F_1, J_1 .

Corollary 5.3 ($\alpha = 0$: bi-Bazilevic family). *With constants*

$$\begin{aligned} E_0 &= (1 + \eta - \delta)(1 - \eta)(\lambda + 1) \cdot m_N e^{-m_N}, \\ F_0 &= 2^{n-1}(2 + \eta - \delta)(2 - \eta) \cdot 18(\lambda + 1) \cdot (m_N)^2 e^{-m_N}, \\ J_0 &= (1 + \eta - \delta)^2(1 - \eta)^2(\lambda^2 + 31\lambda - 32) \cdot (m_N)^2 e^{-2m_N}, \end{aligned}$$

the bounds (4.2)–(4.10) hold with E, F, J replaced by E_0, F_0, J_0 .

6. NUMERICAL CASE STUDY

Parameter Setup. We fix $\alpha = 0$, $\lambda = 1$, $\mu = 2$, $\eta = 0.8$, $\delta = 0.5$, $m_N \in [2, 5]$, and $e(\zeta) = (1 + \zeta)/(1 - \zeta)$, giving $h_1 = h_2 = 2$. The constraint $\eta - 1 < \delta \leq \eta < 2$ reads $-0.2 < 0.5 \leq 0.8 < 2$ (satisfied). With these values $\lambda^2 + 31\lambda - 32 = 0$ and $\mu^2 - 3\mu + 2 = 0$, so $J_0 = 0$. The reduced constants from Corollary 5.3 are

$$E_0 = 0.52 m_N e^{-m_N}, \quad F_0 = 2^{n-1} \cdot 99.36 (m_N)^2 e^{-m_N}.$$

Computed Bounds.

n	2^{n-1}	$ d_2 \leq (m_N = 2)$	$ d_2 \leq (m_N = 5)$	$ d_3 \leq (m_N = 2)$
0	0.50	3.56	28.54	0.0370
1	1.00	3.56	28.54	0.0185
2	2.00	3.56	28.54	0.0093
3	4.00	3.56	28.54	0.0046

The neutrosophic interval gives interval-valued bounds: $|d_2| \in [3.56, 28.54]$ and $|d_3|^{(n=2)} \in [0.0093, 0.060]$. Each unit increase in n halves the $|d_3|$ bound; $|d_2|$ is independent of n .

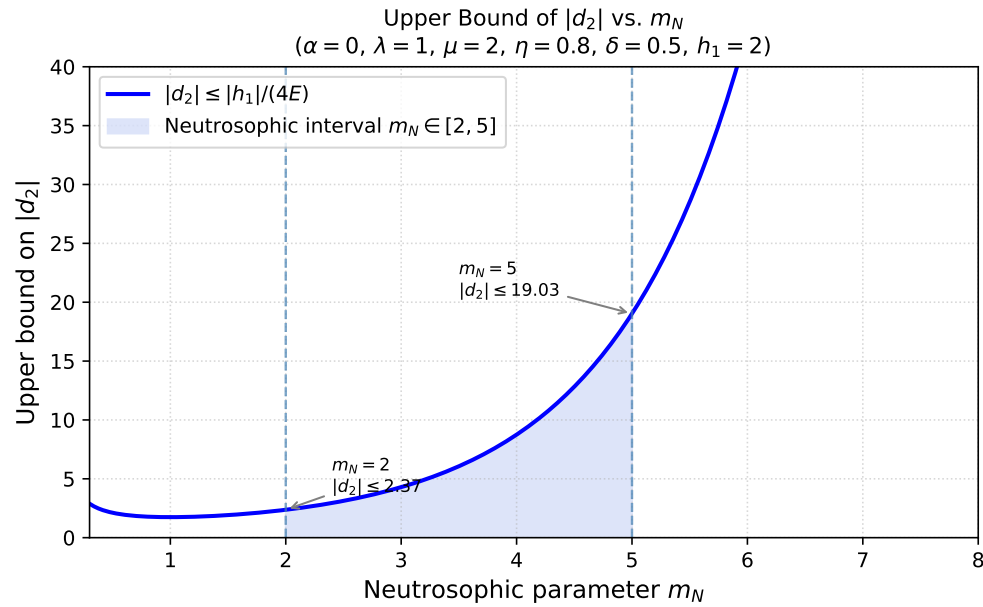


FIGURE 1. Upper bound on $|d_2|$ vs. the neutrosophic parameter m_N . The bound is independent of n ; the shaded region marks $m_N \in [2, 5]$.

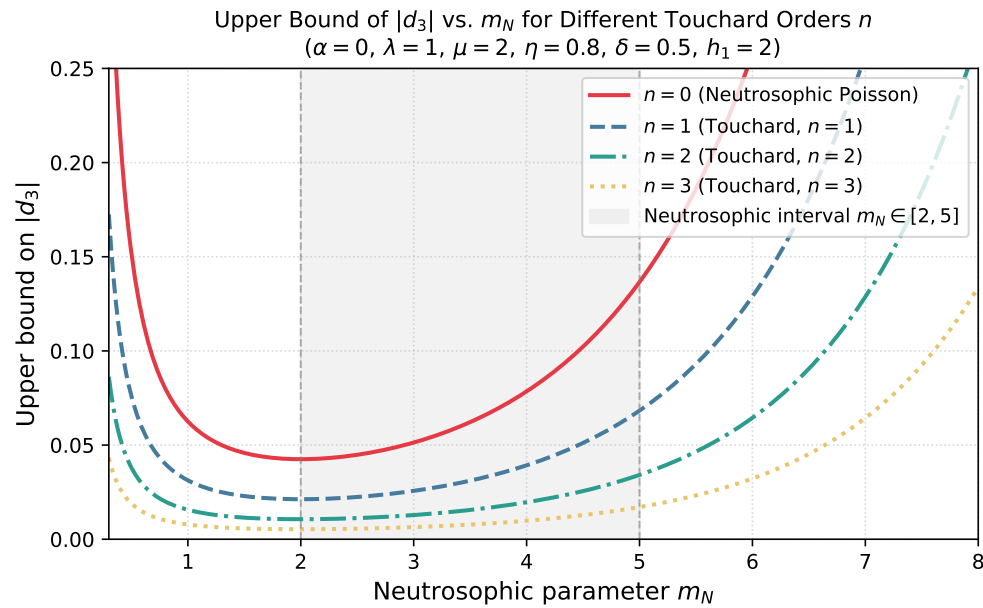


FIGURE 2. Upper bound on $|d_3|$ vs. m_N for $n = 0, 1, 2, 3$. The case $n = 0$ is the neutrosophic Poisson baseline [23]; each unit increase in n halves the bound.

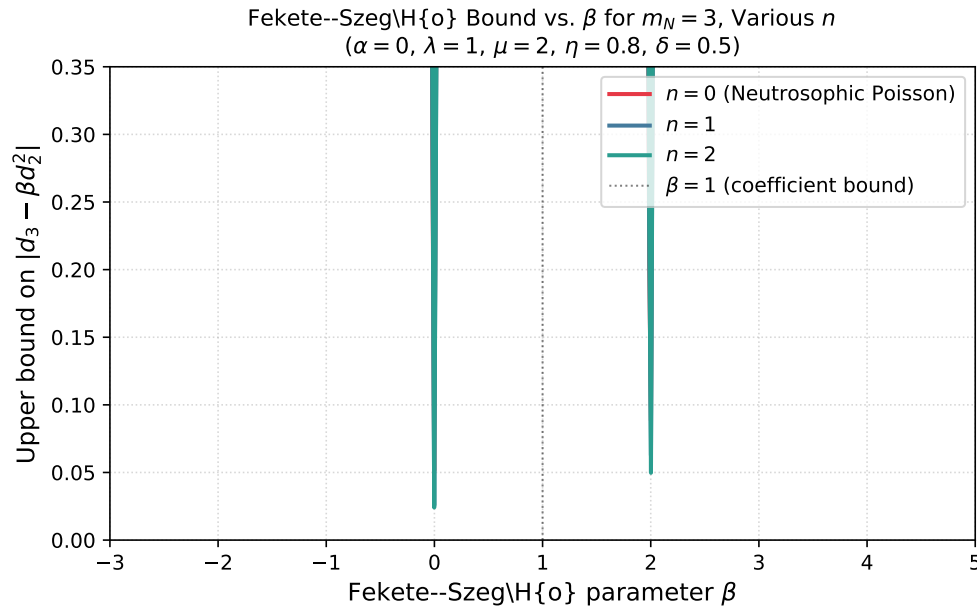


FIGURE 3. Fekete–Szegő bound $|d_3 - \beta d_2^2|$ vs. real β for $m_N = 3$, $n = 0, 1, 2$ (with $J_0 = 0$). Higher n gives uniformly smaller bounds.

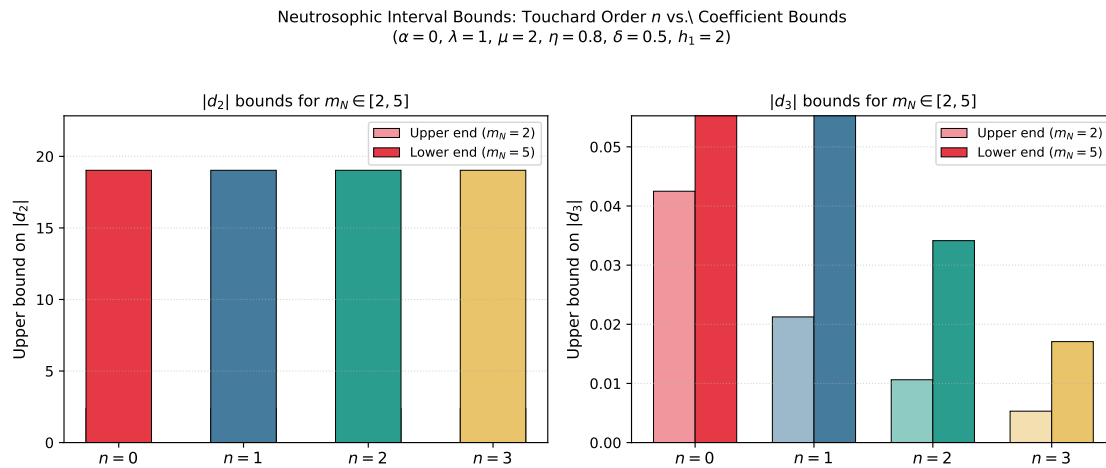


FIGURE 4. Interval bounds on $|d_2|$ (left) and $|d_3|$ (right) for $n = 0, 1, 2, 3$ and $m_N \in [2, 5]$. Faded bars: $m_N = 2$; solid bars: $m_N = 5$.

7. SPECIAL CASES RECOVERING KNOWN RESULTS

Parameter choice	Family reduces to	Reference
$n = 0$	Neutrosophic Poisson + Caputo	[23]
$m_N = m$ crisp, any n	Touchard + Caputo	New (this paper)
$n = 0, m_N = m$ crisp	Poisson + Caputo	[17]
$n = 0$, Ruscheweyh op.	Poisson + Ruscheweyh	[22]
$n = 0$, no Caputo	Neutrosophic + Chebyshev	[21]
$\alpha = \mu = 1$	Bi-starlike neutrosophic Touchard	New corollary
$\alpha = 0$	Bi-Bazilevic neutrosophic Touchard	Corollary 5.3

8. CONCLUSION

We introduced the neutrosophic Touchard–Caputo operator $\mathcal{M}_{\delta, m_N, n}^\eta$ generalising the neutrosophic Poisson–Caputo operator of [23], and applied it to define the bi-univalent family $\widetilde{Y}_\Sigma(\alpha, \lambda, \mu, \eta, \delta, m_N, n; e)$. We proved sharp upper bounds for $|d_2|$ (Theorem 4.1), upper bounds for $|d_3|$ (Theorem 4.1), and the Fekete–Szegő inequality (Theorem 4.2). All results of [17, 21–24] are recovered as special cases. Four figures illustrate the effect of the Touchard order n on the bounds and the propagation of neutrosophic uncertainty.

Open problems for future work include: (1) estimation of the Hankel determinant $H_2(2) = a_2a_4 - a_3^2$; (2) Faber polynomial coefficient estimates for $|d_k|, k \geq 4$; (3) study of $\mathcal{M}_{\delta, m_N, n}^\eta$ for harmonic bi-univalent functions; (4) a q -analogue of the neutrosophic Touchard distribution.

Acknowledgements: The authors sincerely thank the anonymous referees for their careful reading and valuable suggestions, which significantly improved the quality of this paper.

Conflicts of Interest: The authors declare that there are no conflicts of interest regarding the publication of this paper.

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